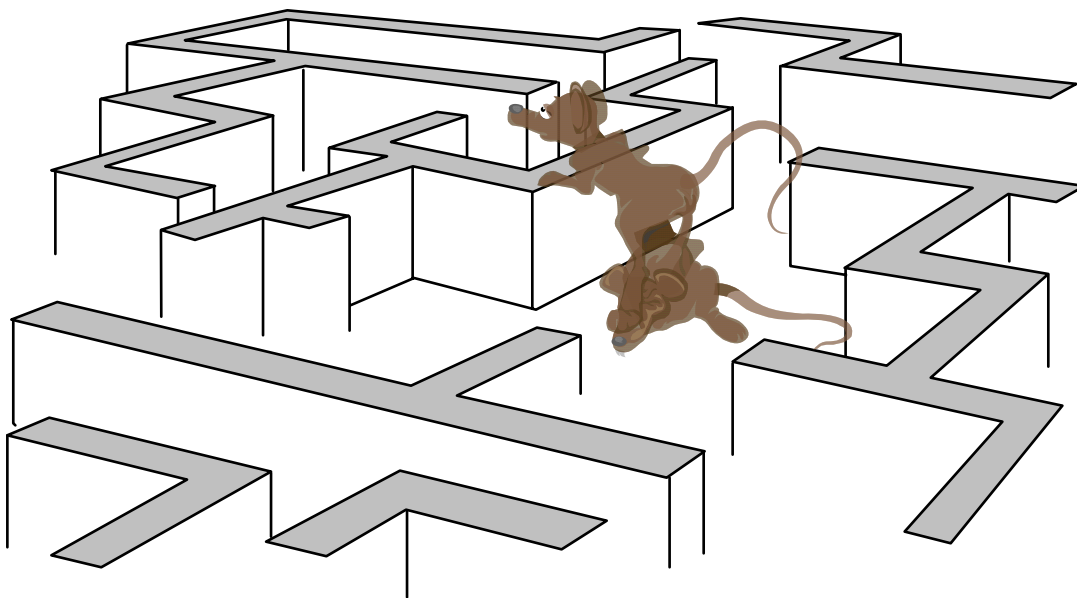


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**WIA TITLE I-B DISLOCATED WORKER,
NATIONAL EMERGENCY GRANT AND TRADE
ADJUSTMENT ASSISTANCE ACT**

PERFORMANCE MEASURES

TECHNICAL ASSISTANCE GUIDE



Developed By:
State Of Wisconsin
Division of Workforce Solutions
Bureau of Workforce Programs
WIA Section

August 2006
(Version DW.06.04)

Workforce Investment Act Title I-B Dislocated Worker, NEG and TAA Performance Measures Technical Assistance Guide

Dislocated Worker, NEG and TAA Performance Measures

This Technical Assistance Guide applies to individuals served by the Workforce Investment Act (WIA) Title 1-B Dislocated Worker Program funding stream, National Emergency Grants (NEG) and the Trade Assistance Adjustment Act (TAA). For performance guidance about the Wagner Peyser program, please consult with the appropriate contact for that program.

Dislocated Worker – A dislocated worker is an individual who meets any one of the following definitions and who received services funded by WIA Dislocated Worker funds:

A dislocated worker is an individual who:

1. has been terminated or laid off, or who has received a notice of termination or layoff from employment, and
 - a. is eligible for or has exhausted entitlement to unemployment compensation; or
 - b. has been employed for a duration sufficient to demonstrate, to the appropriate entity at a one-stop center, attachment to the workforce, but is not eligible for unemployment compensation due to insufficient earnings or having performed services for an employer that was not covered under a State unemployment compensation law; and
 - c. is unlikely to return to a previous industry or occupation;
2. has been terminated or laid off, or has received a notice of termination or layoff, from employment as a result of any permanent closure of, or any substantial layoff at, a plant, facility, or enterprise;
3. is employed at a facility at which the employer has made a general announcement that such facility will close within 180 days; or for purposes of eligibility to receive services other than training services, intensive services or supportive services, is employed at a facility at which the employer has made a general announcement that such facility will close;
4. was self-employed (including employment as a farmer, a rancher, or a fisherman) but is unemployed as a result of general economic conditions in the community in which the individual resides or because of natural disasters;
5. is a displaced homemaker. A “displaced homemaker” is an individual who has been providing unpaid services to family members in the home and who:

- a. has been dependent on the income of another family member but is no longer supported by that income; and
- b. is unemployed or underemployed and is experiencing difficulty in obtaining or upgrading employment.

The Dislocated Worker Performance Measures are:

1. Entered Employment Rate,
2. Employment Retention Rate,
3. Average Earnings (effective July 1, 2006), and
4. Employment and Certificate/Credential Attainment Rate.

The NEG and TAA performance measures are:

1. Entered Employment Rate,
2. Employment Retention Rate, and
3. Average Earnings (effective July 1, 2006).

Negotiated Levels of Performance

The Department of Workforce Development (DWD) successfully completed its Program Year (PY) 2005-06 performance negotiations with the U.S. Department of Labor (U.S. DOL) in June 2005. Because of the change in the Earnings measure, which is outlined in U.S. DOL Training and Employment Guidance Letter (TEGL) 17-05, Common Measures Policy, this measure will be renegotiated in PY06. The State's currently negotiated levels for each of the dislocated worker performance measures are in the table below. State performance goals have not been negotiated for the NEG or TAA programs.

WIA Requirement At Section 136 (B)	U.S. DOL GPRA	Performance Goals	
		PY05	PY06
Entered Employment Rate	83%	86%	87%
Employment Retention Rate	92%	91%	92%
Average Earnings	-\$1353*	-\$1200	\$13,792
Employment and Certificate/Credential Attainment Rate	65%	68%	69%

*No GPRA goal set for PY06.

Definitions for All Measures Unless Specified Otherwise

ASSET: Automated Systems Support for Employment and Training (ASSET) is Wisconsin's designated customer reporting and data collection system for WIA Title 1, WIA Title 3, TAA, National Emergency Grants (NEG) and Special Response Grants (SRR).

Exiter: A participant who has not received a service funded by the program or funded by a partner program for 90 consecutive calendar days and is not scheduled for future services. The exit date is the date of last service.

First Quarter After Exit: This is the first full quarter after the quarter in which the participant exited from the program. For example, if a participant exits on August 1, 2005, the first quarter after exit begins on October 1, 2005.

Participant: An individual who has been determined eligible to participate in the program and has received a service funded by that program in either a physical location (e.g., a Job Center or affiliate site) or remotely through electronic technologies.

Staff-Assisted Service: Any service listed in the "Core Services Staff-Assisted" menu in ASSET (Dislocated Worker Program, only).

Staff-Assisted Service or Better: Any service in the ASSET service menus other than "Core Self or Informational Services" (Dislocated Worker Program, only).

Point of Participation for Inclusion in Performance Measures

An individual who has been determined eligible for the WIA Title 1 Dislocated Worker Program and has received a staff-assisted service or better funded by that program is a participant and will be counted in the WIA Title 1 Dislocated Worker performance measures.

A dislocated worker who has been determined eligible for NEG-funded services and has received a staff-assisted service or better, funded by a NEG is a participant and will be counted in the NEG performance measures.

An individual who has been determined eligible for TAA services and has received a service funded by the TAA Program is a participant and will be counted in the TAA performance measures.

An individual who is eligible for the WIA Title 1 Dislocated Worker Program, TAA, or a NEG and receives only self-service or informational activities will be identified as a WIA Title 3 participant and counted in Wagner Peyser performance measures. This participant will be reported to U.S. DOL as a "self-service only participant" under WIA Title 1. This participant will not be counted in the WIA Title 1 Dislocated Worker, TAA or NEG performance measures.

Exclusions from Performance Measures

Effective July 1, 2005, participants who are institutionalized, deceased, reservists called to active duty, relocated to a mandated residential program (youth only) or have a health/medical or family care issue that prevents them from entering employment or continued participation in the program are excluded from all performance measures. The exclusion applies only if the condition or situation is expected to last more than 90 days. Case managers may report these outcomes as an exit reason or at any point in time during the three quarters after exit.

For example, if Jane Jones was unemployed at participation, exits the dislocated worker program and is employed in the first quarter after exit, she will be counted in the entered employment rate. If you learn during 2nd quarter follow-up that Jane has died and report the exclusion, she will not be counted in the employment retention measure, and will be removed from the entered employment rate. She also would be removed from the employment and credential attainment rate if she received training services and earned a credential.

What Needs to be Reported in ASSET?

If the condition or situation is an exit reason, report the exclusion as follows:

1. Manage Program Exits.
 - a. Enter Exit Date.
 - b. Select the appropriate item under Title 1 – Exit Reasons other than completion.

If the condition or situation is discovered during follow-up, report the exclusion as follows:

2. Manage Follow Up.
 - a. Entered Employment Overview
 - b. Select the appropriate item under "Reason follow-up not possible at this time".

Note: Case managers should think carefully before exiting a participant who is a military reservist called to active duty. If the exclusion is claimed, the participant is taken out of the performance measures. However, an individual who is in this situation has entered employment, will likely retain the job, and if training services were provided, is counted in the employment and credential rate. There may be a greater benefit to performance by counting the participant than by excluding him or her. This consideration may be applied by case managers on a case-by-case basis according to U.S. DOL. Case managers may also want to consider placing the participant in a planned gap in service if the reservist is likely to return to the program within 360 days.

Once the participant is reported as an exclusion, he or she will be removed from all performance measures, regardless of the impact on performance. All exclusions will be monitored and validated. Failure to properly document an exclusion as required under

WIA Policy Update 05-08 may result in corrective action including adjustments to performance measure results and incentive awards.

Supplemental Employment Data Sources

Unemployment Insurance Wage Records are the primary source of pre- and post-program employment data used to determine performance. DWD also uses the Wage Record Interchange System (WRIS) to check other states' UI records for earnings. DWS staff have begun receiving data from the Federal Employment Data Exchange System (FEDES). FEDES contains employment information from the U.S. Department of Defense, the U.S. Postal Service, and the U.S. Office of Personnel Management.

On November 30, 2005, DWS revised WIA Policy Update 04-07 which established the criteria for reporting and documenting supplemental employment data. DWD will not apply supplemental employment data to the performance measure if the case manager is unable to obtain documentation as described in the policy update cited above. Without exception, participants whose positive outcomes result from reported supplemental employment data will be monitored and validated by DWS staff. Failure to properly document supplemental employment data as required under WIA policy Update 04-07 may result in corrective action including adjustments to performance measure results and incentive awards.

A participant whose employment is determined by supplemental employment data is:

1. Added to the Entered Employment Numerator.
2. Added to the Employment Retention Numerator and Denominator.
3. Excluded from the Average Earnings Numerator and Denominator.
4. Added to the Employment and Credential Attainment Numerator if the participant received a training service and is employed in the first quarter after exit (WIA Title 1 dislocated worker measures only).

The application of supplemental employment data to each performance measure is a complex process. A separate Technical Assistance Guide was published in August 2005 to provide further background on the application of supplemental employment data to performance measures.

Policy References

U.S. DOL TEGL 17-05: Common Measures Policy for the Employment and Training Administration's (ETA) Performance Accountability System and Related Performance Issues.

Dislocated Worker, NEG and TAA Performance Measures

1. *Entered Employment Rate*

What is this Measure?

The number of dislocated workers, NEG or TAA participants who have entered employment by the end of the first quarter after exit divided by the number of dislocated workers, NEG or TAA participants who exit during the quarter.

Who is Included in this Measure?

- Individuals who are not employed at participation.

Who is Excluded from this Measure?

- Individuals who are employed at participation, except as noted in the definition below.
- Individuals who have a documented exclusion at exit.
- Individuals who have a documented exclusion in the first quarter after exit.

What Definitions Apply to this Measure?

Employed at Participation - Employment at participation is determined by what the participant reports, not the presence of UI wage data. An individual employed at the date of participation is one who:

- Did any work at all as a paid employee on the date participation occurs;
- Did any work at all in his or her own business, profession or farm;
- Worked 15 hours or more as an unpaid worker in an enterprise operated by a member of the family; or
- Was not working, but has a job or business from which he or she was temporarily absent because of illness, bad weather, vacation, labor-management dispute, or personal reasons, regardless of whether paid by the employer for time off, and regardless of whether seeking another job.

Not Employed at Participation - An individual who is not employed at participation is one who:

- Does not meet the definition of employed at participation ;
- Has received a notice of termination of employment or the employer has issued a Worker Adjustment and Retraining Notification (WARN) or other notice that the facility or enterprise will close; or
- Is a transitioning service member.

Employed in the Quarter After the Exit Quarter - The individual is employed if UI wage records for the first quarter after exit show earnings greater than zero. If supplemental

employment data is used, the individual is employed if the Supplemental Data Verification field in ASSET indicates that data was verified by a check/cancelled check, employer record or income statement. Employment in the first quarter after exit does not have to be with the same employer as at exit.

When is this Measured?

This is an EXIT measure. It is measured in the first quarter after exit. For example, if a participant exits any time from July 1 – September 30, the first quarter after exit is October 1 – December 31.

What Needs to be Reported in ASSET?

1. Manage Program:
 - a. General Program Summary.
 - b. Select the appropriate Employment Status from the dropdown menu.
2. Manage Program Exits:
 - a. Enter Exit date.
 - b. Enter Title 1 exit reason if applicable.
 - c. Employment confirmation is obtained through the UI Wage record cross match.
3. Manage Follow Up, Follow Up Status:
 - a. Complete Entered Employment Overview tab, if information is available or if an exclusion is to be applied.
 - b. Enter supplemental employment data if First Quarter Tab, Supplemental Data Status says "Necessary".

If employment is determined as a result of supplemental employment data, the following information must be reported:

1. Manage Follow Up, Follow Up Status, First Quarter tab:
 - a. Employment Status.
 - b. Enter "Yes" if employed in the first quarter after exit.
 - c. Enter "No" if not employed in the first quarter after exit.
2. For Supplemental Federal Reporting:
 - a. Entry of wages is not required, but may be useful locally .
 - b. Check the radio button for the type of employment that most closely matches your data source.
 - c. Select the method used to verify supplemental employment data. If verification method is blank, "Not Employed in Quarter" or "Not Verified", the supplemental data will not be used in the performance measure.

Example:

The Find-A-Job WDB has 97 individuals in who participated in a dislocated worker, NEG or TAA program.

Criteria		Calculation:
Exit in the Program Year:	90	
Employed at Participation:	15	$90 - 15 = 75$
Employed in Q1 after Exit:	52	52 (numerator)
Exclusion Reported: 5		$75 - 5 = 70$ (denominator)
Entered Employment Rate		$\frac{52}{70} = 74.29\%$

2. *Employment Retention Rate*

What is this Measure?

The number of dislocated workers, NEG or TAA participants who are employed in the second and third quarters after exit divided by the number of dislocated workers, NEG or TAA participants who exit during the quarter.

Who is Included in this Measure?

- Individuals who exit the above-referenced programs **and** are employed in the first quarter after exit. This includes individuals who were employed at participation.
- Individuals whose employment in the first quarter after exit was determined by the presence of supplemental employment data, **and** who are employed in both the second and third quarters after exit.

Who is Excluded from this Measure?

- Individuals who are not employed in the first quarter after exit.
- Individuals who have a documented exclusion at exit.
- Individuals who have a documented exclusion in the first, second or third quarter after exit.

What Definitions Apply to this Measure?

Employed in the Second and Third Quarters After Exit - The individual is employed if UI wage records for the second and third quarters after exit show earnings greater than zero. If supplemental employment data is used, the individual is employed if the Supplemental Data Verification fields in ASSET indicate that data in each quarter was verified by a check/cancelled check, employer record or income statement. Employment in the second and third quarters does not have to be with the same employer, but employment in both quarters must be verified.

When is this Measured?

This is an EXIT measure. It is measured in the second and third quarters after exit. For example, if the participant exits any time from July 1 – September 30, the second quarter after exit is January 1 - March 31 of the following year; and the third quarter after exit will be April 1 – June 30 of the following year.

What Needs to be Reported in ASSET?

1. Manage Follow Up, Follow Up Status:
 - a. Second and Third Quarter tabs.
 - b. Enter supplemental employment data if Supplemental Data Status is "Necessary".

If employment is determined as a result of supplemental employment data:

1. Manage Follow Up, Follow Up Status, Second and Third Quarter Tabs.
2. Entered Employment::
 - a. Enter "Yes" if employed in either or both quarters after exit.
 - b. Enter "No" if not employed in either or both quarters after exit.
3. For Supplemental Federal Reporting:
 - a. Entry of wages is not required, but may be useful locally.
 - b. Check the radio button for the type of employment that most closely matches your data source.
 - c. Select the method used to verify supplemental employment data. If verification method is blank, "Not Employed in Quarter" or "Not Verified", the supplemental data will not be used in the performance measure.

Example:

The I-Gotta-Job WDB has 150 individuals who were employed in the first quarter after exit.

Criteria	Calculation:
Employed in Q1: 150	
Exclusions in Q2: 0	
Exclusions in Q3: 6	150 - 6 = 144 (denominator)
Retained in Q2: 140	
Also Retained in Q3: 110	110 (numerator)
Employment Retention Rate	$\frac{110}{144} = 76.39\%$

3. Average Earnings (Effective July 1, 2006)

Note: The "old" Earnings Change measure that compares pre- and post- program earnings will be used for PY05 performance. The methodology described below will be applied to performance in PY06, which begins on July 1, 2006. It affects all participants who exit on or after April 1, 2005.

What is this Measure?

The total post-program earnings (earnings in quarter 2 + quarter 3 after exit) divided by the number of dislocated workers, TAA or NEG participants who exit during the quarter.

Who is Included in this Measure?

- Individuals who are employed in the first quarter after exit, **and** Are employed in the second **and** third quarters after exit.

Who is Excluded from this Measure?

- Individuals who are not employed in the first quarter after exit.
- Individuals who have a documented exclusion at exit.
- Individuals who have a documented exclusion in the first, second or third quarter after exit.
- Individuals whose employment was determined by the presence of supplemental employment data in the first, second, and/or third quarters after exit.

What Definitions Apply to this Measure?

- *Post-program Earnings* – The total earnings from all employment for the second and third quarters after the exit quarter.

When is this Measured?

This is an EXIT measure. It is measured in the third quarter after exit.

What Needs to be Reported in ASSET?

If employment is determined as a result of supplemental employment data:

1. Manage Follow-Up, Follow-Up Status, Entered Employment Overview.
 - a. Enter exclusion reason if participant falls under one of the exclusion reasons in either the second or third quarters after exit.
2. Manage Follow Up, Follow Up Status, second and third quarter tabs.
 - a. Enter supplemental employment data if Supplemental Data Status says "Necessary".

3. Entered Employment:
 - a. Enter "Yes" if employed in the third quarter after exit.
 - b. Enter "No" if not employed in the third quarter after exit.
4. For Supplemental Federal Reporting:
 - a. Entry of wages is not required, but may be useful locally.
 - b. Check the radio button for the type of employment that most closely matches your data source.
 - c. Select the method used to verify supplemental employment data. If verification method is blank, "Not Employed in Quarter" or "Not Verified", the supplemental data will not be used in the performance measure.

Example:

The Want-More-Money WDB has 243 individuals who retained employment in the third quarter after exit.

Criteria:	Calculation:
Employed in Q1: 300	
Employed in Q2: 300	
Employed in Q3: 243	
Exclusions in Q3: 1	$243 - 1 = 242$ (denominator)
Q2 Post-Program Earnings: \$300,141	
Q3 Post-Program Earnings: \$280,121	$300,141 + 280,121 = 580,262$ (numerator)
Average Earnings	$\frac{\$580,262}{242} = \$2,397.77$

5. Employment and Certificate/Credential Attainment Rate (Effective July 1, 2006 for new participants only)

What is this Measure?

The number of dislocated workers who received a training service, were employed in the first quarter after exit and received a certificate/credential by the end of the third quarter after exit divided by the number of dislocated workers who exit during the quarter.

Note: Effective July 1, 2006 the definition of a training service and certificate/credential will be aligned with Federal Guidelines as outlined in U.S. DOL TEGL 17-05. WIA Policy Update 06-07 more fully describes the DWS policy regarding training services, the definition of a certificate/credential and plans for changing reporting requirements in ASSET.

This measure applies only to WIA Title 1 program participants. Individuals who receive training services and are served exclusively by TAA or NEGAs (i.e., are not co-enrolled in the Dislocated Worker program) are not included in this measure. If the participant is enrolled in any or multiple WIA Title 1 program areas and receives a training service funded by WIA Title 1 or any partner program, the participant will be counted in this measure, regardless of which fund source paid for the service. This includes all training services that were provided prior to the WIA Title 1 participation date.

Who is Included in this Measure?

- Dislocated workers who receive training services as defined in WIA Policy Update 06-07, **and** are employed in the first quarter after exit.
- Dislocated workers who receive training services and are **not** employed in the first quarter after exit.
- Dislocated workers who earned a certificate/credential.
- Dislocated workers who did not earn a certificate/credential.
- Dislocated workers who received training services **and** whose employment was determined by the presence of supplemental employment data.

Who is Excluded from this Measure?

- Dislocated workers who do not receive training services as defined in WIA Policy Update 06-07.
- Dislocated workers who were not employed in the first quarter after exit, and did not receive training services.
- Dislocated workers who have a documented exclusion at exit.
- Dislocated workers who received training services **and** have a documented exclusion in the first, second or third quarter after exit.

What Definitions Apply to this Measure?

Certificate/Credential: Effective July 1, 2006, a certificate/credential is a document awarded in recognition of an individual's attainment of measurable technical or occupational skills necessary to gain employment or advance within an occupation. Further details are available in WIA Policy Update 06-07.

When is this Measured?

This is an EXIT measure that has two components. In the first quarter after exit, entry into employment is measured. In the third quarter after exit credential attainment is measured. For example, if a participant exits any time from July 1 – September 30, the first quarter after exit is October 1 – December 31. The third quarter after exit is April 1 – June 30 of the following year.

What Needs to be Reported in ASSET?

1. Manage Services (A Training Service must be reported):
 - a. Enter Actual Service Open Date.
 - b. Enter Actual Service Close Date.
2. Manage Program Exits:
 - a. Enter Exit Date.
 - a. Enter Title 1 exit reason, if applicable.
3. Manage Follow Ups, Follow Up Credential:
 - a. Select type of certificate/credential attained.
 - b. Enter date certificate/credential was attained.
4. Manage Follow Ups; Employment Overview tab:
 - a. Report exclusion if it occurred in the first , second or third quarter after exit.
5. Follow Up Status; first and third quarter tabs:
 - a. Enter supplemental employment data if Supplemental Data Status says "Necessary".

Note: ASSET is used by a number of programs besides WIA Title 1 for participant reporting. Credentials should always be reported, whether or not they will affect the WIA Title 1 performance measures. Only one certificate/credential per participant is counted in this measure, regardless of how many certificates/credentials are reported.

If employment is determined as a result of supplemental employment data:

1. Manage Follow Up, Follow Up Status First Quarter Tab, Entered Employment:
 - a. Enter "Yes" if employed in first quarter after exit.
 - b. Enter "No" if not employed in the first quarter after exit.

2. For Supplemental Federal Reporting:
 - a. Entry of wages is not required, but may be useful locally.
 - b. Check the radio button for the type of employment that most closely matches your data source.
 - c. Select the method used to verify supplemental employment data. If verification method is blank, "Not Employed in Quarter" or "Not Verified", the supplemental data will not be used to exclude the participant from the performance measure.

Example:

The Got-A-Sheepskin WDB has 150 individuals who received training services.

Criteria	Calculation
Received a Training Service	150 (denominator)
Employed in Q1	100
Employed and Earned a Certificate/Credential by Q3	98 (numerator)
Employment and Certificate/Credential Attainment Rate	$\frac{98}{150} = 65.33\%$